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ATTORNEY DOCKET NO. 10005727 -8

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Craig M. Perlov et al

Confirmation No

Application No.: 10/697457

Examiner: n/a

Filing Date:

Oct 30, 2003

Group Art Unit: 2818

Title:

Fabrication And Assembly Structures And Methods For Memory Devices

Mail Stop Amendment **Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

(X) under 37 CFR 1.97 (c) together with either a:

( ) Statement under 37 CFR 1.97(e), or

(X) a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

( ) under 37 CFR 1.97 (d) together with a:

( ) Statement under 37 CFR 1.97(e)(1) or (2), and

( ) a \$180.00 fee set forth in 37 CFR 1.17(p).

(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$180.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith. 10697457

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 15 OCT 2004

( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number on Number of pages:

Typed Name:Ramona d. Zaya

Signature:

Respectfully submitted,

Craig M. Perlov et al

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Reg. No. 41,309

Date: 15 OCT 2004

Telephone No.: 650.236.4890

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known				
Application Number	10/697,457			
Filing Date	October 30, 2003			
First Named Inventor	Craig M. Perlov			
Art Unit	2818			
Examiner Name	n/a			
Attorney Docket Number	10005727-8			

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	<del> </del>	US- 5,453,769	09-26-1995	Schwarzl et al.			
	<del> </del>	<sup>US-</sup> 6,055,180	04-25-2000	Gudesen et al			
	ļ	US- 6,007,888	12-28-1999	Kime			
		<sup>US-</sup> 5,776,797	07-07-1998	Nicewarner Jr.			
		<sup>US-</sup> 5,184,689	02-09-1993	Maniar			
		<sup>US-</sup> 5,854,534	12-29-1998	Beilin et al			
	i	<sup>US-</sup> 4,623,986	11-18-1986	Chauvel			
		<sup>US-</sup> 6,121,676	09-19-2000	Solberg			
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>			
		WO 01/06563	01-25-2001	Silicon Film Te					
		WO 98/58383	12-23-1998	Opticom ASA					
	<u> </u>								
	<u> </u>					<u> </u>			

Examiner Date Signature Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached. Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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